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JAN 16 2004

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)
100-16301 (P05089-C1)

In re Application of Vjsvamohan Yegnashankaran et al.

Application Number 08/010,343

Filed December 5, 2001

For Integrated Circuit and Method of Forming the Integrated Circuit Having a Die with High Q Inductors and Capacitors Attached to a Die with a Circuit as a Flip Chip

Group Art Unit
2826

Examiner
Andujar, Leonardo

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1)) \$110
☐ Two months (37 CFR 1.17(a)(2)) \$
☐ Three months (37 CFR 1.17(a)(3)) \$
☐ Four months (37 CFR 1.17(a)(4)) \$
☐ Five months (37 CFR 1.17(a)(5)) \$

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$
☒ A check in the amount of the \$1052.00 is enclosed of which \$110 is the extension of time fee.
☐ Payment by credit card. Form PTO-2038 is attached.
☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 502305.
I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record. Registration No. 36,239

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a). _____

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

January 16, 2004

Date

Mark C. Pickering
Signature

Mark C. Pickering

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is expected to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

09000901 502305 10010343

01 FC:1252

310.00 DA

004 CHSUYEN 00000126 10010343

251

110.00 OP

Adjustment date: 03/05/2004 SDIRETA2
02/23/2004 ASHITH 00000001 502305 10010343
01 FC:1252 310.00 CR

10/010,343

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Visvamohan Yegnashankaran et al.

Appln. No.: 10/010,343

Filed: December 5, 2001

Group Art Unit: 2826

Examiner: Andujar, Leonardo

REQUEST FOR REFUND

For: INTEGRATED CIRCUIT AND METHOD OF
FORMING THE INTEGRATED CIRCUIT
HAVING A DIE WITH HIGH Q INDUCTORS
AND CAPACITORS ATTACHED TO A DIE WITH
A CIRCUIT AS A FLIP CHIP

Director of the US Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant's attorney respectfully requests a refund in the amount of \$620 to be credited to our Deposit Account No. 502305 for the reasons stated below:

1. On August 21, 2003, a final rejection in the above-identified patent application was mailed. (Copy of cover page of final rejection attached as Exhibit A.)
2. On October 13, 2003, applicant filed a Response under 37 CFR §1.116 (to the Office Action Dated August 21, 2003). (Copy of first page of response after final rejection attached as Exhibit B.) The Response under 37 CFR §1.116 was received by the U.S.P.T.O. on October 17, 2003. (Copy of postal return receipt card attached as Exhibit C.) As a result, a Response under 37 CFR §1.116 was filed within two months of the mailing date of the final rejection.
3. On December 16, 2003, the Examiner mailed an Advisory Action Indicating that the Response under 37 CFR §1.116 did not place this application in condition for allowance. (Copy of first page of Advisory Action attached as Exhibit D.)
4. On January 16, 2004, applicant filed an RCE by Express Mail No. EV342471437US. (Copy of return receipt postcard attached as Exhibit E.)

Request for Refund

-1-

Atty. Dkt. No. 100-16300
(P05089)

10/010,343

PATENT

5. A Request for a One Month Extension of Time was included with the RCE, along with our check No. 1564 which included \$110 for the One Month Extension of Time fee. (Copy of the extension of time attached as Exhibit F, copy of check No. 1564 attached as Exhibit G.)

6. Because a Response under 37 CFR §1.116 was mailed within two months of the mailing date of the final rejection, the period for calculating the required extension of time began on December 16, 2003, the mailing date of the Advisory Action. Thus, since the RCE was filed on January 16, 2004, only a one month extension of time was required. Since the RCE included funds for a one month extension of time, no additional extension of time charges were warranted.

7. On February 25, 2004, the PTO charged Deposit Account No. 502305 a fee of \$310 to cover an extension of time for a second month in the above-identified patent application. However, since no extension of time for a second month was required, the \$310 fee was improperly charged to Deposit Account No. 502305. As a result, it is requested that the \$310 fee charged on February 25, 2004 be refunded to Deposit Account No. 502305. (Copy of Deposit Account Statement attached as Exhibit H.)

8. On February 12, 2004, the PTO charged Deposit Account No. 502305 a fee of \$310 to cover an extension of time for a second month in application serial number 09/010,343. Application serial number 09/010,343 was inadvertently typed on the Petition for Extension of Time Form. The correct application serial number for the above-identified patent application is 10/010,343. However, since no extension of time for a second month was required, the \$310 fee was improperly charged to Deposit Account No. 502305. As a result, it is requested that the \$310 fee charged on February 12, 2004 be refunded to Deposit Account No. 502305. (Copy of Deposit Account Statement attached as Exhibit H.)

Respectfully submitted,

Dated: 3-2-04By: Mark C. Pickering

Mark C. Pickering
Registration No. 36,239
Attorney for Assignee

30 Fifth Street, Suite 200
P.O. Box 300
Petaluma, CA 94953-0300
Telephone: (707) 762-5500
Facsimile: (707) 762-5504
Customer No. 33402

Request for Refund

-2-

Atty. Dkt. No. 100-16300
(P05089)

Law Offices of Mark C. Pickering
P.O. Box 900
Petaluma, CA 94953-0900
Main Telephone: 707/762-5500
Facsimile: 707/762-5504

FACSIMILE TRANSMITTAL

To: Refunds Branch

Fax: 703/308-6778

Director of the USPTO

From: Mark C. Pickering

Date: March 2, 2004

Re: Request for Refund for
10/010,343

Pages: 11 (including cover sheet)

EXHIBIT A

COPY



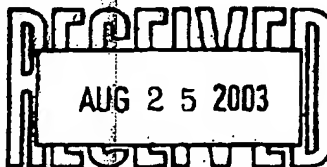
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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/010,343	12/05/2001	Vijayamohan Yegnanashankaran	073319-0261613 (P05089) 100-16300	7286

33402 7590 08/21/2003

LAW OFFICES OF MARK C. PICKERING
P.O. BOX 300
PETALUMA, CA 94953



EXAMINER

ANDUJAR, LEONARDO

ART UNIT	PAPER NUMBER
----------	--------------

2826

DATE MAILED: 08/21/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

10-21-03
11-21-03

EXHIBIT B

COPY**PATENT**

10/010,343

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Visvamohan Yegnashankaran et al.

Appln. No.: 10/010,343

Filed: December 5, 2001

For: INTEGRATED CIRCUIT AND METHOD
OF FORMING THE INTEGRATED
CIRCUIT HAVING A DIE WITH HIGH Q
INDUCTORS AND CAPACITORS
ATTACHED TO A DIE WITH A
CIRCUIT AS A FLIP CHIP

Group Art Unit: 2826

Examiner: L. Andújar

RESPONSE UNDER 37 CFR §1.116 (TO
OFFICE ACTION DATED AUGUST 21,
2003), EXPEDITED PROCEDURE
REQUESTED

INTRODUCTORY COMMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action mailed August 21, 2003, please amend the
above-identified applications as follows:

Amendments to the Claims are reflected in the listing of claims which begins on
page 2 of this paper;

Remarks which begin on page 9 of this paper; and

An **Appendix** including a replacement drawing sheet of FIG. 1 is attached following
page 13 of this paper.

RESPONSE UNDER 37 CFR §1.116 (TO
OFFICE ACTION DATED AUGUST 21, 2003).

Atty. Docket No. 100-16300

03/02/04 TUE 13:43 [TX/RX NO 6111] 005

- ☒ Transmittal Letter
- ☒ Patent Application
- ☒ _____ pgs. specification including (Claims and Abstract)
- ☒ Drawings _____ sheet(s) ☒ formal ☒ informal
- ☒ Oath/Declaration
- ☒ Assignment w/ Form PTO-595 *(replacement)*
- ☒ Power of Attorney
- ☒ Small Entity Statement
- ☒ Deposit Account Authorization (in duplicate)
- ☒ Information Disclosure Statement, PTO-1449 w/ _____ refs.
- ☒ Check \$ *172.00*
- ☒ Amendment/Response: *To Paper No. 11*
- ☒ Request for Extension of Time (_____ months) (patent)
- ☒ Terminal Disclaimer
- ☒ Notice of Appeal
- ☒ Appeal Brief (in triplicate)
- ☒ Letter to Official Draftsperson
- ☒ Maintenance Fee Transmittal
- ☒ Non Publishing Request

☐ Request Fee:
☒ Status Inquiry
Certificate of Mailing _____
Express Mail Certificate No. _____
Certificate under §3.73(b) _____
Certificate of Correction (PTO Form 1050) _____
☒ Issue Fee Transmittal
Fee Transmittal _____
Petition for _____

Other: _____

OCT 17 2003

UNITED STATES PATENT AND TRADEMARK OFFICE